



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

Harvey

CONFIRMATION NO.: 8389

SERIAL NO.:

10/645,969

GROUP NO.:

3711

FILING DATE:

August 22, 2003

EXAMINER:

M. Chambers

TITLE:

Lacrosse Stick

CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 7th day of June 2005.

Diane Racicot

Mail Stop Amendment

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Submitted herewith is/are:

- 1. Transmittal Form (1 pg.);
- 2. Fee Transmittal (1 pg.);
- 3. A check in the amount of \$180.00;
- 4. Second Supplemental Information Disclosure Statement (2 pgs.);
- 5. Form PTO-1449 (1 pg.);
- 6. Copy of Reference B3; and
- 7. Return receipt postcard

| | OIPE | | | | | | | | | |
|---|--|---|---|--|--------|---|--|--|--|--|
| 7 | 4 | | Application | Serial Number | | 10/645,969 | | | | |
| | ши п 9 2005 🗓 | | Filing Date | | \top | August 22, 2003 | | | | |
| Fee Transmittal Form Check Attached Copy of Fee Transmittal Form Amendment and Response Preliminary After Final Affidavits/declaration(s) | | | First Named | Inventor | | Harvey | | | | |
| V | ÇTR ANKÎMITTA | T | Group Art U | Init | | 3711 | | | | |
| | ALLEN | | Examiner N | ame | | M. Chambers | | | | |
| | FORM | | Attorney Do | cket No. | | QKL-001 | | | | |
| | | | Confirmatio | n No. | | 8389 | | | | |
| | | | Patent No. | · · · · · · · · · · · · · · · · · · · | | Not applicable | | | | |
| | | | Issue Date | | | Not applicable | | | | |
| | | EN | CLOSURES (c | heck all that apply) | | | | | | |
| ⊠ 1 | Fee Transmittal Form | | Copy of Notice | e to File Missing | | | | | | |
| | ☑ Check Attached | | Parts of Applic | cation (PTO-1553) | | of Patent Appeals and Interferences | | | | |
| | Copy of Fee | | Formal Drawin | ng(s) | | Appeal Brief (in triplicate) | | | | |
| | _ | | Request For C Examination (I | | | Status Inquiry | | | | |
| | | | Transmittal | | | Return Receipt Postcard | | | | |
| | Letter to Official Draftsperson | | Associate Power | of Attorney | × | Certificate of First Class Mailing under 37 C.F.R. 1.8 | | | | |
| | including Drawings [Total Sheets] | | Terminal Disc | aimer | | Certificate of Facsimile Transmission under 37 C.F.R. 1.8 | | | | |
| | Petition for Extension of Time | | | aration and Power Utility or Design | | Additional Enclosure(s) (please identify below) | | | | |
| ☒ | Second Supplemental Information Disclosure Statement | | Small Entity S | tatement | | | | | | |
| | ✓ Form PTO-1449✓ Copy of IDS Citations(B3) | | CD(s) for large program | e table or computer | | | | | | |
| | Certified Copy of Priority Document(s) | | | fter Allowance | | | | | | |
| | Sequence Listing submission Paper Copy/CD Computer Readable Copy Statement verifying identity of above | | Request for Correction Certificate duplicate) | ortificate of | | | | | | |
| COR | RESPONDENCE ADDRESS | | | SIGNATURE BLO | OC) | | | | | |
| Direc | et all correspondence to: Patent Ad Goodwin Exchange Boston, M Tel. No.: Fax No.: Customer | Procter I Place IA 02109 (617) 570 (617) 523- | LLP -1000 -1231 | Date: June 7, 2005 Reg. No. 51,842 Tel. No.: (617) 570- Fax No.: (617) 523- | | | | | | |

FEE-FRANSMITTAL FY 2005

| _ | | |
|---|---------------------------|-------------------|
| | | Complete if Known |
| | Application Serial Number | 10/645,969 |
| | | August 22, 2003 |
| | First Named Inventor | Harvey |
| | Group Art Unit | 3711 |
| | Examiner Name | M. Chambers |
| | Attorney Docket No. | QKL-001 |

| | METHOD OF PAYMENT | | FEE CALCULATION (continued) | | | | | | |
|------------------------|--|-------------|-----------------------------|---|--|--------------|--|--|--|
| 1. Payment Enclosed: | | | | 3. ADDITIONAL FEES | | | | | |
| | ☐ Check ☐ Money Order ☐ Other | | Larg Entit | • | | | | | |
| 2. 🛛 | The Commissioner is hereby authorized to | | Fee | | Fee Description | Fee Paid | | | |
| | or charge any fee indicated below for this | submission | (\$) | (\$) | | | | | |
| l | to Deposit Account No. 07-1700. | | | | | | | | |
| | Required Fees (copy of this sheet enclosed | • | 130 | | Surcharge - late filing fee or oath | | | | |
| | Additional fee required under 37 CFR 1.16 | 5 and 1.17. | 50 | | Surcharge - late provisional filing fee or cover sheet | | | | |
| $oxed{oxed}$ | Overpayment Credit. | | 130 | | Non-English specification | | | | |
| 3. 🗌 | Applicant claims small entity status. | | 2,520 | | Request for ex parte reexamination | | | | |
| | FEE CALCULATION | | 120 | | Extension for reply within first month | | | | |
| | NG/SEARCH/EXAM/SIZE FEES | | 450 | | Extension for reply within second month | | | | |
| 4 | Entity | | 1020 | | Extension for reply within third month | | | | |
| Fee (S | 6) Fee Description | Fee Paid | 1590 | | Extension for reply within fourth month | | | | |
| 200 | VICTO GIVE | | 2160 | | Extension for reply within fifth month | | | | |
| 300 500 | Utility filing fee Utility search fee | | 500 500 | | Notice of Appeal Filing a brief in support of an appeal | | | | |
| 200 | Utility exam fee | | 1000 | | Request for oral hearing | | | | |
| 250 | Utility size fee (each add'l 50 pgs. over 100) | | 400 | | Petitions to the Commissioner (Gp. I) | | | | |
| 200 | Design filing fee | | 200 | | Petitions to the Commissioner (Gp. II) | | | | |
| 100 | Design search fee | | 130 | 130 | Petitions to the Commissioner (Gp. III) | | | | |
| 130 | Design exam fee | | 180 | 180 | Submission of Information Disclosure | | | | |
| 250 | Design size fee (each add'l 50 pgs. over 100) | |] | | Statement | 180.00 | | | |
| | | | 790 | 395 | Filing a submission after final | | | | |
| | N 1 N 1 D | • | 700 | 205 | rejection (37 CFR 1.129(a)) | | | | |
| | Number Number Rate | Amount | 790 | 395 | For each additional invention to be | | | | |
| Total Cla | Filed Extra sims 3 - 20 = x \$ 50.00 = | _ | 100 | 100 | examined (37 CFR 1.129(b)) Certificate of Correction for | | | | |
| Total Cia | 3 -20 - 7 30.00 - | | 100 | 100 | applicant's error | | | | |
| Independ | lent | | 130 | 65 | Submission of Terminal Disclaimer | | | | |
| Claim | s $3 - 3 = x $200.00 =$ | = | Other | fee (Specify) | | | | | |
| | | | Other | fee (Specify) | | | | | |
| ☐ Multi | ple Dependent Claim(s), if any \$360.00 = | | | | | | | | |
| | TOTAL: SMALL ENTITY DISCOUNT: | | | | | | | | |
| | SUBTOTAL (1) (\$) | 0.00 | | | | | | | |
| 2 AME | ENDMENT CLAIM FEES | 0.00 | 1 | | | | | | |
| 2. / 11/12 | Claims Highest No. Present Rate | Fee Paid | | | SUBTOTAL (3) | (\$) 180.00 | | | |
| R | temaining Previously Extra | | | | | | | | |
| Afi | ter Amend. Paid For | ; | | - | | | | | |
| Total | - = 0 x \$ 50.0 | 0.00 | | | SUBTOTAL(1) | 0.00 | | | |
| Indep. | -= 0 x \$200.0 | | | | SUBTOTAL (2) | 0.00 | | | |
| | Presentation of Multiple Dep. Claim + \$360.0 | | | | SUBTOTAL (3) | 180.00 | | | |
| _ | TOTAL: | (\$) | | | | | | | |
| i | SMALL ENTITY DISCOUNT: | (\$) | | | | | | | |
| | SUBTOTAL (2) | (\$) 0.00 | TOTAL (\$) 180.00 | | | | | | |
| CORRESPONDENCE ADDRESS | | | | <u>.</u> | SIGNATURE BLOCK | | | | |
| Direct a | all correspondence to: | | _ | | Respectfully submitted, | | | | |
| | Patent Administrato | Г | | Date: June 7 | 2005 Mth/f | | | | |
| Goodwin Procter LLP | | | | | | - | | | |
| | Exchange Place | | | Reg. No.: 51,842 Andrew L. Jagenow Tel. No.: (617) 570-1905 Attorney for the Applicants | | | | | |
| | Boston, MA 02109 | | | Fax No.: (617) 523-1231 Goodwin Procter LLP | | | | | |
| 1 | Tel. No.: (617) 570- | | | Exchange Place | | | | | |
| | Fax No.: (617) 523- | | | Boston, MA 02109 | | | | | |
| 1 | Customer No. 0514 | 14 | | | | | | | |



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

Harvey

CONFIRMATION NO.: 8389

SERIAL NO.:

10/645,969

GROUP NO.:

3711

FILING DATE:

August 22, 2003

EXAMINER:

M. Chambers

TITLE:

Lacrosse Stick

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make of record the patents and publications listed on the accompanying Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. In accordance with the U.S. Patent Office's partial waiver of the requirement under 37 C.F.R. 1.98(a)(2)(i), only copies of the foreign patent documents and non-patent publications are enclosed.

In addition, Applicants wish to inform the Examiner about the following commonly-owned, copending application, including all cited references and Office actions issued therein:

| U.S. Serial No. | Filing Date | Inventor Name |
|-----------------|---------------|---------------|
| 10/900,043 | July 26, 2004 | Rogers et al. |

REMARKS

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed (CHECK ONE):

| (1) | within three (3) months of the filing date of a national application other than a |
|-----|--|
| | continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months |
| | of the date of entry of the national stage as set forth in 37 C.F.R. 1.491 in an |
| | international application, or before the mailing of the first Office action on the |
| | merits, or before the mailing of a first Office action after the filing of a request for |
| | continued examination under 37 C.F.R. 1.114; or |

Information Disclosure Statement U.S.S.N. 10/645,969 Page 2 of 2

| \boxtimes | (2) | | er the period defined in (1) but before the mailing date of a final action or a notice allowance under 37 C.F.R. 1.311, and |
|-------------|------------------------|------------------------|---|
| | | the | e requisite Statement is below, OR |
| | \boxtimes | the | e requisite fee under 37 C.F.R. 1.17(p), namely \$180.00, is included herein, or |
| | (3) | | er the mailing date of a final action or notice of allowance but before the payment the issue fee, AND |
| | | the | e requisite Statement is below, AND |
| | | the | requisite petition fee under 37 C.F.R. 1.17(p), namely \$180.00 is included herein. |
| | - | • | requested that each of the patents and publications listed on the attached Form information contained herein, be made of record in this application. |
| | | | STATEMENT |
| Α | s requir | ed und | er 37 C.F.R. 1.97(e), Applicant(s), through the undersigned, hereby state either that |
| [check | the app | ropria | ate space only if either (2) or (3) is checked on the previous page and the |
| Staten | nent is r | equire | d]: |
| | | 2. | Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement; or No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in 37 C.F.R. |
| | | | 1.56(c) more than three months prior to the filing of the Information Disclosure Statement. |
| | | | Respectfully submitted, |
| | June 7, 2 Io. 51,84 | | Andrew L. Jagenow Attorney for Applicant Goodwin Procter LLP |
| | o.: (617) | | 905 Exchange Place |
| Fax No | o.: (6 17) | 523-13 | Boston, Massachusetts 02109 Customer No. 051414 |

LIBA/1554859.1



FORM PTO - 1449

SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

ATTORNEY DOCKET NO.: QKL-001

APPLICANT: Harvey

| DISCLOSURE STATEMENT | | | | | | SERIAL NO.: 10/645,969 | | | | | | | |
|----------------------|----------|--------------------|----------|---------|-------------|--|--------------|----------------|------------------|------|------------------------|--|--|
| | | | | | | FILING DATE: August 22, 2003 GROUP: 3711 | | | | | | | |
| | <u> </u> | | U.S | S. PATE | NT I | OOCUME | ENTS | _ | | | | | |
| EXAM. INIT. | | DOCUMENT NUMBER | DATE | NAMI | NAME | | | CLASS | SUB CLASS | 1 | NG DATE IF ROPRIATE | | |
| | A101 | 2,039,138 | 4/28/36 | Auer | | | | | | | | | |
| | A102 | 3,692,609 | 9/19/72 | Eckes | | | | | | | | | |
| | A103 | 3,910,578 | 10/7/75 | Brine | , Jr. | | | | | | | | |
| | A104 | 4,340,226 | 7/20/82 | Haine | s | | | | | | | | |
| | A105 | 4,451,041 | 5/29/84 | Hayas | shi et | al. | | | | | | | |
| | A106 | 4,725,059 | 2/16/88 | Du Ga | ardin | et al. | | | | | | | |
| | A107 | 6,006,782 | 5/23/00 | Kram | er | | | | | | | | |
| | A108 | 6,019,691 | 2/1/00 | Hilbo | Hilborn | | | | | | | | |
| | A109 | 6,663,515 | 12/16/03 | Pai | Pai | | | | | | | | |
| | A110 | 6,723,134 | 4/20/04 | Tucke | Tucker, Sr. | | | | | | | | |
| - | | | FORE | IGN PA | TEN | T DOCU | MENTS | | | | | | |
| EXAM. INIT. | | DOCUMENT NUMBER | DATE | COUN | OUNTRY CLA | | SUB CLASS | FILING DATE | ABSTRACT ONLY | | ENGLISH LANG (Y/N) | | |
| | В3 | 1 589 596 | 7/28/77 | GB | | | | | N | | Y | | |
| | | | OTHER A | RT, JOI | URN | AL ART | CLES, I | ETC. | | | | | |
| EXAM. INIT. | ОТН | ER DOCUMENT | | | _ | | | | f Publicat | ion) | | | |
| | | | | | | | | | | | | | |
| - | | | | | | | | | | | | | |
| EXAMIN | ER | | | | | DATE CO | ONSIDER | ED | | | | | |